

UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/082,778         | 02/25/2002          | Kok-Wai Chang         | 10-371US78114          |

27975  
ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A.  
1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE  
P.O. BOX 3791  
ORLANDO, FL 32802-3791

CONFIRMATION NO. 4429  
FORMALITIES LETTER



\*OC000000007775289\*

Date Mailed: 04/03/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));

JUL 1 0 2002 BARBARA L 00000118 501467 1008278

11-50105 100.00 21

***A copy of this notice MUST be returned with the reply.***

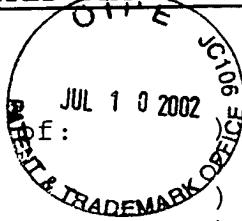
  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2000-07-22 10:22:00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



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In re Patent Application of:  
CHANG ET AL.

Serial No. 10/082,778

Atty Docket No.:  
78114 (10-371 US)

Filing Date: FEBRUARY 25, 2002

Art Unit: 2874

Confirmation No. 4429

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For: OPTICAL CIRCULATOR

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts mailed  
April 3, 2002, Applicants enclose the following:

- 1) Surcharge of \$130.00 for large entity.
- 2) Declaration and Power of Attorney.
- 3) Assignment to JDS UNIPHASE CORPORATION,  
together with \$40 recordal fee and cover page.
- 4) One (1) Month Extension of Time together with  
\$110.00 fee.
- 5) Transmittal of FIVE (5) Sheets of Formal  
Drawings.

2007-03-22 2008-03-22

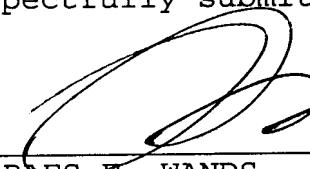
In re Patent Application of:  
CHANG ET AL.  
Serial No. 10/082,778  
Filed: February 25, 2002

6) Copy of "Notice to File Missing Parts of Application--Filing Date Granted" mailed April 3, 2002.

Authorization is given to charge Deposit Account 50-1465 in the amount of \$130.00 for the surcharge, \$40.00 for the assignment recordal fee and \$110.00 for the one-month extension of time. If any additional extension and/or fee is required, or if any additional fee for claims is required, charge Deposit Account No. 50-1465.

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PATENT TRADEMARK OFFICE

Respectfully submitted,

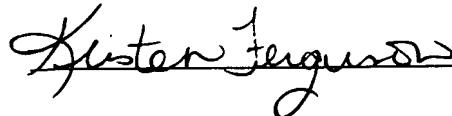
  
CHARLES E. WANDS  
Reg. No. 25,649

Telephone: (321) 725-4760

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, on this 2<sup>nd</sup> day of July, 2002.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
CHANG ET AL. )  
Serial No. 10/082,778 ) Atty Docket No.:  
Filing Date: FEBRUARY 25, 2002 ) 78114 (10-371 US)  
Confirmation No. 4429 ) Art Unit: 2874  
For: OPTICAL CIRCULATOR )  
)

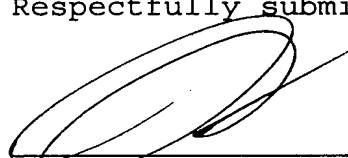
TRANSMITTAL OF FORMAL DRAWINGS

Director, U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Enclosed are **FIVE (5)** sheets of formal drawings  
to be filed in the above-identified patent application..

Respectfully submitted,



CHARLES E. WANDS  
Reg. No. 25,649

 27975

PATENT TRADEMARK OFFICE

Telephone: (321) 725-4760

CERTIFICATE OF MAILING

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on this 2nd day of July, 2002.



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